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Genetic profiles of familial late-onset Alzheimer's Disease in China: The Shanghai FLOAD study

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1 **Abstract:**

2

3 Compared with early-onset familial AD (FAD), the heritability of most familial late-onset

4 Alzheimer's Disease (FLOAD) cases still remains unclear. However, there are few reported

5 genetic profiles of FLOAD to date. In the present study, targeted sequencing of selected candidate

6 genes was conducted for each of 90 probands with FLOAD and 101 unrelated matched normal

7 controls among Chinese Han population. Results show a significantly lower rate of mutation in

8 APP and PSENs, and *APOE* ϵ 4 genetic risk is higher for FLOAD. Among the Chinese FLOAD9 population, the most frequent variant was *CRI* rs116806486 (5.6%, 95% CI (1.8%, 12.5%)), and10 followed by coding variants of *TREM2* (4.4%, 95%CI (1.2%,10.9%)) and novel mutations of *ACE*11 (3.3%, 95%CI (0.7%, 9.4%)). Next, we found that novel pathogenic mutations in *ACE* including12 frame-shift and nonsense mutations were in association with FLOAD regardless of *APOE* ϵ 4

13 status. Evidence from the Alzheimer's Disease Neuroimaging Initiative (ADNI) database also

14 supported this finding in different ethnicities. Results of *in vitro* analysis suggest that frame-shift15 and nonsense mutations in *ACE* may be involved in LOAD through decreased ACE protein levels

16 without affecting direct processing of APP.

17

18 **Keywords:** Alzheimer's Disease, familial late-onset Alzheimer's Disease, gene, mutation, *ACE*

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1 **1. Introduction**

2

3 The most common form of dementia, Alzheimer's Disease (AD) is divided into early-onset AD
4 (EOAD) and late-onset AD (LOAD) according to the age at onset, with 60 or 65 years as the
5 boundary, usually. In addition to memory impairment, atypical clinical manifestations such as
6 visual space disorder, apraxia, dyscalculia, aphasia, and executive dysfunction are more common
7 in the early stage of EOAD.^{1,2} Simultaneously, AD can also be separated into familial AD (FAD)
8 and sporadic AD in terms of family history. Interestingly, genetic backgrounds differ between
9 familial EOAD and familial LOAD (FLOAD). Mutations in genes encoding amyloid precursor
10 protein (APP [OMIM: 104760]), presenilin 1 (PSEN1 [OMIM: 104311]) and presenilin 2 (PSEN2
11 [OMIM: 600759]), which all lead to A β overproduction, account for about 10%-20% of familial
12 AD, especially familial EOAD.³⁻⁵ Meanwhile, the Apolipoprotein E (APOE [OMIM: 107741]) ϵ 4
13 allele is the most powerful genetic risk factor identified for LOAD.^{6,7} Increased risks for AD are
14 estimated to 2-4 folds with a single ϵ 4 allele, whereas 8-16 folds with two ϵ 4 alleles.^{8,9}
15 Unfortunately, the majority of heritable risk for FAD remains an open question.

16 In recent years, whole-genome/whole-exome sequencing technology and data analysis based on
17 large sample sizes have found a series of coding mutations in *ADAM10*[OMIM: 602192],¹⁰
18 *TREM2*[OMIM: 605086],^{11,12} and *PLD3*[OMIM: 615698]¹³ increase the risk of AD. Genome-wide
19 association studies (GWAS) have discovered more than 20 risk genes for AD,^{14,15} involving
20 immunity, metabolism, endocytosis, APP and tau metabolism, and other pathways. Generally,
21 GWAS can only identify correlations between common variants with lower impact on risk for AD,
22 but cannot determine rare coding variants with high pathogenicity. Where some studies chose

1 FAD as the research object, the results are partly consistent with studies of sporadic AD. *ADAM10*
2 and *PLD3* (mentioned above) were also found in LOAD pedigrees. *BINI* [OMIM: 601248], *CLU*
3 [OMIM: 185430]¹⁶; *CRI* [OMIM: 120620], *PICALM* [OMIM: 104760], *APOE*, *ADAM10*, *ACE*
4 [OMIM: 106180]¹⁷; *PTK2B* [OMIM: 601212]¹⁸; and *TREM2*¹⁹ have also been verified in GWAS
5 analysis of LOAD populations with family history.

6 However, previous studies of FAD and disease-causing gene mutations mainly focus on
7 familial EOAD and *APP*, *PSEN1*, along with *PSEN2*. Less is known regarding FLOAD. Based on
8 previous studies mentioned above, we selected 13 candidate genes (*APP*, *PSEN1*, *PSEN2*,
9 *ADAM10*, *TREM2*, *PLD3*, *BINI*, *CLU*, *CRI*, *PICALM*, *APOE*, *ACE*, and *PTK2B*) which were
10 detected not only in sporadic LOAD cohorts, but also verified in FAD cohorts, and we further
11 performed target enrichment sequencing in the Shanghai FLOAD cohort to reveal the genetic
12 profiles of FLOAD in Chinese population.

13

14 **2. Material and Methods**

15

16 2.1. Participants and clinical neuropsychological assessments

17 Probands of unrelated LOAD families were recruited from the Memory Clinic in Ruijin Hospital
18 affiliated with Shanghai Jiao Tong University School of Medicine and Huashan Hospital affiliated
19 with Fudan University, respectively. All individuals were diagnosed with probable AD dementia²⁰
20 according to recommendations of the National Institute on Aging-Alzheimer's Association
21 workgroups (NIA-AA). Additionally, AD-diagnoses met the following inclusion criteria: (1)
22 persons of Chinese Han ethnicity, (2) > 60 years old, (3) with a family history, i.e., at least one

1 first-degree relative suffering from dementia with a probable cause of LOAD. Individuals who had
2 difficulty cooperating with neuropsychological assessments due to severe visual or hearing
3 impairments were excluded. Unrelated elderly individuals with normal cognition who did not
4 possess a family history of dementia were selected from an urban community in Shanghai as the
5 control cohort. Scales of Mini-mental State Examination (MMSE) and Clinical Dementia Rating
6 (CDR) cognitive assessments were performed for each participant. Montreal Cognitive
7 Assessment (MoCA) and Addenbrooke's Cognitive Examination (ACE-III) were used in the
8 probands if necessary. This study was approved by the Ethics Committee of Ruijin Hospital
9 affiliated to Shanghai Jiaotong University School of Medicine and informed consent was obtained
10 from all participants.

11

12 2.2. Target enrichment sequencing and mutation analysis

13 We extracted DNA from about 3 ml of peripheral blood samples, which were collected from each
14 participant. After quality examination of each sample, a total of 451 targets of the 13 candidate
15 genes were enriched based on multiplex polymerase chain reactions (Genesky Biotechnologies Inc,
16 Shanghai, China). High-throughput sequencing was performed on an Illumina Hiseq (Illumina,
17 CA, USA). Clean reads were aligned to the reference genome by means of software employing the
18 BWA algorithm²¹ after quality control of raw data. GATK²² standard procedure was adopted to
19 correct original alignment results and detect single nucleotide variants (SNVs) and insertions or
20 deletions (InDels). All SNV/InDel positions were annotated by ANNOVAR²³ to assess variant
21 frequency, gene function, pathogenicity prediction, etc. The population database mainly referred to
22 the Genome Aggregation Database (gnomAD) and hazard prediction referred to evidence from

1 online tools (SIFT, POLYPHEN, Mutation Taster, and CADD). Missense variants of *APP*, *PSEN1*,
2 *PSEN2*, and *TREM2* were also checked on the AlzForum database
3 (<https://www.alzforum.org/mutations>) and defined as a novel mutation if not recorded in
4 AlzForum nor peer reviewed publications.

5 Variants meeting one of the following conditions were selected out for Sanger sequencing
6 verification: (1) defined as ‘pathogenic’ or ‘likely pathogenic’ according to the guidelines issued
7 by the American College of Medical Genetics (ACMG)²⁴; (2) non-synonymous variants of *APP*,
8 *PSEN1*, *PSEN2*, *ADAM10*, and *TREM2*; (3) defined as rare variants by gnomAD (minor allele
9 frequency <0.01) and carried by more than one index patient while not detected in controls; and/or
10 (4) were reported in association with AD in previous studies. Primer information can be found in
11 supplemental materials (Table S1).

12 SNP linkage analysis was performed by Plink under different heritability models. The Haploview
13 program was used for analysis of Linkage Disequilibrium (LD) with the aim to identify haplotype
14 blocks with significant association.

15

16 2.3. ADNI database and related bioinformatics Analysis

17 The Alzheimer's Disease Neuroimaging Initiative (ADNI) is a multisite longitudinal study, which
18 aims to track the progression of AD with clinical, imaging, genetic, and biospecimen biomarkers,
19 to validate biomarkers for use in AD clinical treatment trials. ADNI has had a global impact due to
20 standardized protocols and its open data-sharing policy. Based on our findings, the Linux awk
21 command was used to extract sequencing information for the *ACE* gene from sequencing of
22 Chromosome 17. Gene annotation of extracted variants was performed by means of an online tool,

1 wANNOVAR (wannovar.wglab.org).^{23,25} Corresponding clinical and imaging data (including
2 information of family history) were collected according to the identifiers for selected individuals.

3

4 2.4. Plasmid construction and cell culture, transfection

5 Wild type and mutant *ACE* plasmids were constructed by Sangon Biotech (Shanghai), respectively
6 named *ACE*^{WT}, *ACE* p.L1024fs and, for the truncating mutation, *ACE* p.1024X. An additional
7 plasmid encoded the mutant Swedish APP (*APP*^{Sw}), and the empty vector pcDNA3.1 was used as
8 negative control. Human neuroblastoma SH-SY5Y cells were cultured into 6-well plates coated
9 with poly-lysine and maintained in DMEM with 10% fetal bovine serum and
10 penicillin-streptomycin (Gibco) in a 5% CO₂ incubator at 37°C. After cell density reached 70%
11 confluence, co-transfection was performed using Lipofectamine 2000 (Invitrogen) according to
12 the manufacturer's instructions. For each well, 1250 ng *APP*^{Sw} plasmid DNA with 1250 ng
13 *ACE*^{WT}/*ACE* p.L1024fs/*ACE* p.L1024X plasmid DNA (Sangon Biotech) was mixed with
14 Lipofectamine 2000, which was then added to the cells. 72 hours after co-transfection, the culture
15 media and cells were collected for further analysis.

16

17 2.5. Western blot

18 After harvesting, cells were lysed with protein extraction reagent (with added Halt protease
19 inhibitor cocktail, EDTA-Free) (ThermoFisher), and were subsequently centrifuged at 14,000x g
20 for 10 minutes. Total protein was determined using the BCA Protein Assay Reagent
21 (ThermoFisher). A total of 20 micrograms of denatured protein was loaded onto 10% SDS-PAGE
22 gels. Once proteins were separated by electrophoresis, they were transferred to PVDF membranes

1 (Immobilon-P^{SQ}). After blocking in 5% nonfat milk for 2 hours, the membranes were incubated
2 with primary antibody (1:1000) overnight at 4°C. Then, membranes were washed with TBST 3
3 times (10 minutes each) and incubated with species-matched peroxidase-conjugated secondary
4 antibody (1:1000) for 2 hours. The protein band was visualized by ECL (ThermoFisher). Images
5 were captured, and band intensities were quantified using an Odyssey Image Station (LI-COR).
6 The primary antibodies used in this study included anti-ACE antibody (Invitrogen, PA5-83080),
7 anti-APP antibody (Abcam, ab32136) and anti-beta-actin antibody (Sigma, A5441). The
8 secondary antibodies included horseradish peroxidase-conjugated goat anti-mouse or anti-rabbit
9 IgG (Beyotime, A0216 or A0208)

10

11 2.6. Enzyme-linked immunosorbent assay (ELISA)

12 After collection 72 hours following transfection, cell culture media was centrifugated at 300x g for
13 10 minutes to remove cellular debris. ACE was detected using sandwich ELISA kits (Multi
14 Sciences) according to the manufacturer's protocol. Plates were read at 450 nm on a Synergy MX
15 plate reader (Bio-Tek).

16

17 2.7. Statistical analysis

18 Demographic analysis was conducted using SPSS Statistics v22.0 and independent-sample t tests
19 and Chi-square tests were used for measurements and numeric/integer count data, respectively. In
20 SNP analysis, different models were calculated, including an Allele Model, a Dominant Model, a
21 Recessive Model and a Genotype Model, as well as application of the Chi-square test, Fisher's
22 exact test, and logistic regression analysis. FDR correction by the Benjamini-Hochberg (BH)

1 adjustment and also Bonferroni adjusted p values were calculated. Logistic regression analysis
2 was also applied to haplotype analysis. Odds ratio (OR) and 95% confidence interval (95% CI)
3 (the Clopper-Pearson Method) were calculated. Results of *in vitro* experiments were analyzed by
4 GraphPad Prism 7 and analysis of variance (ANOVA) was used when comparing more than two
5 groups. Statistical significance was defined as $p < 0.05$.

6

7 **3. Results**

8 3.1. Demographic characteristics and SNP analysis

9 A total of 90 probands from LOAD families and 101 cognitively normal elderly persons were
10 recruited as participants of this study. The two cohorts were matched in age and gender. 66.7% of
11 patients carried at least one *APOE* $\epsilon 4$ allele, whereas only 13.9% of normal controls did (**Table 1**).
12 Heterozygous $\epsilon 4$ was estimated to confer risk for FLOAD with an OR of 12.08 (95% CI:
13 5.68-25.69), while homozygotes of $\epsilon 4$ had an approximative risk (OR = 14.50, 95% CI:
14 3.01-69.97). *APOE* $\epsilon 4$ allele frequency in the FLOAD cohort was significantly higher than in the
15 control cohort (38.9% vs 7.9%, OR = 8.31, 95%CI ranged from 4.29 to 16.08, $p < 0.001$). SNP
16 association analysis indicated that only *APOE* rs429358 was linked to FLOAD when taking the
17 adjusted p value into consideration (Figure S1). On the other hand, several haplotypes within *APP*
18 and *BINI* were found to confer genetic risk for AD in addition to *APOE* $\epsilon 4$ (Figure S2, Table S2).

19

20 3.2. Genetic profiles of FLOAD

21 The most frequent variant was *CRI* rs116806486 (p. T173A), which was harbored by five (5.6%,
22 5/90, 95% CI ranged from 1.8% to 12.5%) probands simultaneously. Followed by variants of

1 *TREM2*, detected in four (4.4%, 4/90, 95% CI ranged from 1.2% to 10.9%) index patients. The
2 individual carrier of both p.A130V and p.H157Y SNPs had an earlier onset age and more
3 aggressive cognitive decline. Moreover, p.H157Y was identified in a second proband. The novel
4 *TREM2* variant (NM_001271821: exon4: c.496G>A: p.V166M) was predicted to be deleterious
5 by more than two predictive algorithms. A few missense variants within *ACE* were detected in
6 both cohorts, which may superficially suggest no conferrence of risk for AD. However, three
7 ‘pathogenic’ or ‘likely pathogenic’ mutations of *ACE* (*ACE* p.W343X, *ACE* p. D441fs, *ACE* p.
8 L1024fs) graded according to ACMG guidelines were found in probands from different pedigrees
9 (3.3%, 3/90, 95% CI ranged from 0.7% to 9.4%) (**Table 2**).

10 One rare coding variant of *PLD3* (NM_001031696: exon7: c.489C>G: p.I163M) and a novel
11 variant in *ADAM10* (NM_001320570: exon13: c.1748A>G: p.Q583R) were also detected in our
12 AD cohort. There was only one *PSEN2* mutation in an individual with AD phenotype, p.H169N.
13 Three other coding variants of *PSEN2* were carried by cognitive normal controls, including 2
14 novel variants (p.T128A and p.E322G). No missense mutations were detected in *APP* nor *PSENI*
15 in our two cohorts, in addition to 7 synonymous and non-coding region (UTR) mutations (Table
16 S3).

17 Because the mutations of *ACE* in the AD cohort are novel and account for the third highest
18 frequency (3.3%), we next focused on revealing the phenotype and related function(s) of *ACE*
19 mutation next.

20

21 3.3. Frameshifting and stop-gain mutations of *ACE*

22 We noticed that the ‘pathogenic’ and ‘likely pathogenic’ mutations based on ACMG guidelines

1 were all located in *ACE*. No other frame-shift or stop-gain variant was detected. Since AD was the
2 only common phenotype, we questioned whether these mutations were associated with AD risk.
3 These mutations (*ACE* p.W343X, *ACE* p. D441fs, *ACE* p. L1024fs) were predicted to have
4 deleterious effects on protein function(s) of the angiotensin I-converting enzyme, which is
5 encoded by the *ACE* gene. Sequence length shortened as a result of altered downstream amino
6 acid sequence and premature termination (**Fig. 1A**). However, co-segregation analysis had not
7 been realized due to the lack of samples from affected relatives. (Most of them had passed away
8 due to the proband's advanced onset age, which is necessarily distinct from the affected relatives
9 of EOAD of a relatively young age) (**Fig. 1B**). Neuroimaging showed typical features of AD, such
10 as medial temporal lobe atrophy (MTA, **Fig. 1C**), hypometabolism (**Fig. 1D**), and most
11 importantly, abnormal A β deposition (**Fig. 2A**). As depicted, the patient with *ACE* p.L1024fs
12 (Patient 2) exhibited more extensive A β plaques formation than the patient with *ACE* p. D441fs
13 (Patient 1), which is reflected by a wider area of red color.

Fig. 1**Fig. 2**

16 With the aim to ascertain whether the mutation altering the length of the *ACE* protein-coding
17 sequence is a likely genetic risk factor of AD, we extracted relative genetic, clinical and imaging
18 results from the ADNI database. One non-frameshifting insertion (*ACE* p.L18_L19insPL) and
19 three frameshifting variants (p.R149Lfs*53; p.D1058Yfs*15; p.S1238Pfs*118) were annotated by
20 wANNOVAR. The non-frameshifting insertion variant was excluded from further analysis as
21 some normal carriers showed negative A β deposition according to PET imaging. The
22 corresponding three patients who harbored frameshifted variants of *ACE* not only progressed to

1 dementia, but also presented with positive A β deposition (**Fig. 2B, 2C, and 2D**). Detailed
2 information can be found in Table 3 and the patients' identifiers from ADNI are listed.
3 Unfortunately, information of family history was absent due to mismatched identifiers (The three
4 identifiers was absent in the file that recorded details of family history).

5 To summarize, a total of 6 patients who carried *ACE* frame-shift or stop-gain mutations were in
6 their 70s, and manifested with LOAD independent of gender and *APOE* ϵ 4 status. These results
7 suggest that frame-shift or stop-gain mutation in *ACE* might play a role in LOAD with evidence of
8 abnormal A β deposition featured by AD.

9

10 3.4. ACE mutation decreases ACE expression without influencing APP in vitro

11 As the significantly elevated A β deposition shown in the patient with *ACE* p.L1024fs, we further
12 investigated whether this mutation influenced APP processing. In addition, we also constructed
13 another truncating mutant plasmid (*ACE* p.L1024X) to compare to the frame-shifted construct and
14 determine whether there could be a gain-of-function from the shift of reading frames.
15 Membrane-localized ACE protein was significantly decreased after transfection with *ACE*
16 p.L1024fs compared to *ACE*^{WT} (**Fig. 3A and 3B**), and was consistent with the decrease in secreted
17 ACE in the cell culture medium (**Fig. 3D**). A similar tendency was also observed with transfection
18 of the other construct for *ACE*, p.L1024X. Consistently, the expression of secreted ACE in
19 samples transfected with *ACE* p.L1024fs was even lower compared to *ACE* p.L1024X (**Fig. 3D**),
20 indicating that the frame-shift mutation might have a more deleterious effect than the nonsense
21 mutation at the same site. However, expression levels of full-length APP remained unchanged
22 among the cells transfected with *ACE*^{WT}, *ACE* p.L1024fs, *ACE* p.L1024X and negative control

1 (Fig. 3A and 3C). These results suggest that *ACE* p.L1024fs lowers expression of both
2 membrane-localized and secreted ACE, but has no direct impact on APP processing.

3 **Fig. 3**

4 **4. Discussion**

5 This study is the first exploration of genetic screening for familial late-onset Alzheimer's
6 Disease (FLOAD) to our knowledge. The frequency (66.7%) of *APOE* ϵ 4 allele carriers in our
7 FLOAD cohort is higher compared to that of sporadic AD in a prior Asian cohort (41.88%, 95%CI
8 ranging from 38.48% to 45.27%)²⁶ and that of the Chinese Familial Alzheimer's Disease Network
9 (CFAN) cohort (51.37%).⁴

10 The frequency (1.1%, 1/90) of *APP*, *PSEN1*, and *PSEN2* missense mutations in our FLOAD
11 cohort is significantly lower compared to previous studies of different ethnic populations. The
12 major reason might be that only FLOAD was included in our study whereas a considerable
13 proportion of familial EOAD were recruited in other studies. The only *PSEN2* mutation (*PSEN2*
14 p.H169N) in the present case cohort was first discovered in a Chinese patient once before, and this
15 individual was also phenotyped with FLOAD and amyloid deposition.²⁷ *PSEN2* (p.G34S) was
16 reported in Dutch LOAD patients and not observed in 283 healthy controls with unchanged
17 A β 42/A β 40 ratio at the cellular level (unpublished data).²⁸ In our cohorts, G34S was detected in
18 two controls, both of whom have no complaints about memory nor any cognitive decline. G34S
19 seems benign rather than pathogenic based on these results. Similarly, the other two novel variants
20 (p.T128A and p.E322G) we found might be polymorphisms according to the algorithm proposed
21 by Guerreiro and his colleagues.²⁹

22 *TREM2* and *CRI*, encoding triggering receptor expressed on myeloid cells 2 and complement

1 receptor 1 protein, respectively, are involved in neuroinflammation and immune response related
2 pathways.^{30,31} A total of 10% of FLOAD probands carried variants of *CRI* rs116806486 (5/90) or
3 *TREM2* (4/90) in our study, making the two genes notable among the candidates. On the one hand,
4 the missense variant *CRI* rs116806486 (p. T173A) was confirmed to be associated with LOAD in
5 northern Han Chinese cohorts with an OR of 3.21 (95%CI: from 1.37 to 7.54).³² Our study found
6 that the frequency of *CRI* rs116806486 is higher in FLOAD than sporadic LOAD (5.6% versus
7 1.9%). On the other hand, few missense mutations of *TREM2* have been reported to confer genetic
8 risk for LOAD, with one being R47H.^{11,12,33} However, R47H-mediated increase in the risk for AD
9 may be race-specific. This risk locus has only been reported in European and North American
10 populations, but not in Asian populations.³⁴ Another *TREM2* variant (p.H157Y) has been detected
11 in Chinese populations and conferred considerable risk of LOAD with an OR of 11.01 (95% CI
12 ranged from 1.38 to 88.05).³⁵ This position, histidine 157, is a cleavage site of *TREM2*. The
13 p.H157Y variant results in enhanced shedding of *TREM2*, thus lowering *TREM2*-dependent
14 phagocytosis.³⁶ Frequency of p.H157Y is higher in our FLOAD cohort (2.2%, 2/90) than that of
15 previous sporadic cases (0.8%, 8/988). It seems that H157Y increasing the risk of LOAD is unique
16 to the Chinese population, especially in FLOAD. The proband harboring two *TREM2* variants
17 shows an earlier onset age and more aggressive progression, indicating that genetic risks may have
18 an additive effect. These results highlight the role of complement-mediated and microglia-related
19 innate immunity in LOAD.

20 Most importantly, this study is the first to find correlation between novel *ACE* frameshifting
21 or nonsense mutations and AD. All six patients (three from our FLOAD cohorts, three from ADNI)
22 were diagnosed with AD or onset in their seventies and five of them progressed to dementia within

1 a shorter duration. *ACE* encodes the angiotensin I-converting enzyme (ACE), which converts
2 angiotensin I to angiotensin II. It is a key component of the renin-angiotensin system (RAS), and
3 is crucial in modulating blood pressure. Numerous preclinical and clinical studies have provided
4 evidence supporting an association between ACE and AD, in addition to *ACE* identified as a risk
5 gene by GWAS. On the one hand, increased ACE was found in AD brains, along with angiotensin
6 II and AT1 receptor.³⁷ On the other hand, ACE protein level and activity of CSF and serum were
7 decreased in AD patients compared to controls.³⁸ Results of clinical studies were contradictory.
8 Some interpretations were that ACE inhibitors provided protection against AD onset and cognitive
9 decline,³⁹⁻⁴² whereas others have found the opposite.⁴³⁻⁴⁶ *In vitro* studies showed that ACE is
10 involved in A β degradation,⁴⁷ and the active center might be the N-terminal domain rather than the
11 C-terminal domain.⁴⁸ Research within the past 12 years has indicated a potential AD treatment
12 target could be ACE and has led to a proposed Angiotensin hypothesis of AD.^{49,50} In a human APP
13 transgenic mouse model, an effective dose of ACE inhibitor was found to be sufficient to promote
14 formation of amyloid plaques. Moreover, heterozygous *ACE* deletion (*APP/ACE^{+/-}*) led to a
15 decline in ACE expression and accelerated A β 42 deposition.⁴⁵ However, the mechanistic role of
16 ACE and related pathways in the pathogenesis of AD remains undetermined. In the present study,
17 our *in vitro* experiments suggest that frame-shift and nonsense *ACE* mutations resulted in
18 decreased ACE protein levels. By contrast, recent research identified *ACE* rs4980 (R1279Q) as a
19 penetrant mutation in AD and demonstrated elevated ACE levels, ACE activity and other RAS
20 components in knock-in mice models, accelerating neurodegeneration and neuroinflammation
21 independent of A β pathology.⁵¹ Although these results seem inconsistent, it is consistent with a
22 role for either decreased or elevated ACE playing a role in AD risk through different mechanisms.

1 In addition, ACE2, another RAS regulator which proteolytically activates angiotensin, was found
2 to decrease amyloid pathology and provide a protective effect on cognitive decline.⁵² Taken
3 together, ACE and related RAS signaling are critical in AD with still incompletely understood, but
4 intersecting, molecular pathways.

5 Limitations of this study include: (1) The probands recruited for this study are clinically
6 diagnosed without a pathological diagnosis. (2) It is also a possibility that cognitively normal
7 controls developed dementia in later years, and this has not been ruled out. (3) Furthermore, the
8 absence of relatives' DNA samples makes it impossible to explore co-segregation of variants with
9 AD. (4) Due to target sequencing, negative results in other probands do not mean that there are no
10 mutations in the undetected genes.

11

12 **5. Conclusions**

13 In conclusion, we found genetic heterogeneity of FLOAD. Among the patients with FLOAD
14 in China, *CRI* rs116806486 is the most frequent coding variant, followed by missense mutations
15 in *TREM2* and pathogenic mutations in *ACE*. Combined with verification of data in the ADNI
16 database, our study supports a hypothesis that frame-shift and nonsense mutations in *ACE* might
17 contribute to the genetic etiology of LOAD. The imbalance of RAS caused by decreased ACE
18 expression could be involved in pathogenic mechanism(s) of AD which will require further
19 investigation to elucidate.

20

21 **Appendices**

22 2 figures (Figure S1-S2) and 5 tables (Table S1-S5) are included in the Appendices.

1

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16 **Declaration of Interests**

17 The authors declare no competing interests.

18

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3 **Legends**

4 **Fig. 1 Sanger Sequencing and clinical details of the index patients harboring ACE**
5 **pathogenic mutations.**

6 (A) Verification of Sanger Sequencing for ACE frameshifting and stop-gain mutations. (B-D) The
7 other index patient who carried ACE p.W343X was lost to follow-up. Patient 1: 74 years old, male,
8 APOE $\epsilon 2/\epsilon 3$, MMSE 23/30 (education: 12 years); Patient 2: 74 years old, female, APOE $\epsilon 3/\epsilon 4$,
9 MMSE 11/30 (education: 6 years). (B) Pedigrees with the index patient labeled by a black arrow.
10 (C) Slices of coronal magnetic resonance imaging demonstrating hippocampal atrophy (red arrow).
11 Patient 1: MTA = 3; Patient 2: MTA = 4. (D) Image of 18F-FDG PET shows reduced metabolism,
12 especially in parietal, temporal, and frontal lobes.

13

14 **Fig. 2 18F-AV-45 (18F-Florbetapir) PET imagings of patients with ACE frameshifting**
15 **mutations.**

16 (A) Intracranial A β deposition for Patient 1 and Patient 2 respectively: Transverse section, Coronal
17 section, Medial sagittal section and 3-dimensional reconstruction models; (B-D) Intracranial A β
18 deposition for patients from ADNI and “m” represented follow-up months after baseline. As time
19 progressed, more A β deposited in the patients with ACE p. S1238Pfs (B) and ACE p.D1058Yfs(C).
20 The last patient who harbored ACE p.R149Lfs had abundant A β deposition at baseline (D). Warm
21 color represents an increased uptake, which indicates abnormal A β deposition.

22

1 **Fig. 3 Membrane-localized and secreted ACE change consistently, but expression of APP**

2 **remains unchanged.**

3 (A) Expression of ACE and APP in SH-SY5Y cells co-transfected with APP^{Sw} and ACE^{WT}/ACE

4 p.L1024fs/ACE p.L1024X. (B,C) quantification of ACE and APP expression, respectively. (D)

5 quantification of the secreted ACE in culture medium by ELISA after co-transfection.

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7

8 **Tables**

9 **Table 1. Demographics and APOE genotypes**

Group		AD (n = 90)	Control (n = 101)	P value
Age		74.03±7.03	73.04±8.31	0.373
Gender	Male	40 (44.4%)	40 (39.6%)	0.499
	Female	50 (55.6%)	61 (60.4%)	
MMSE		17.70±8.57	29.10±0.82	0.000
APOE	ε4-/-	30 (33.3%)	87 (86.1%)	0.000
	ε4+/-	50 (55.6%)	12 (11.9%)	
	ε4+/+	10 (11.1%)	2 (2.0%)	

Table 2. Mutation profiles and detailed information

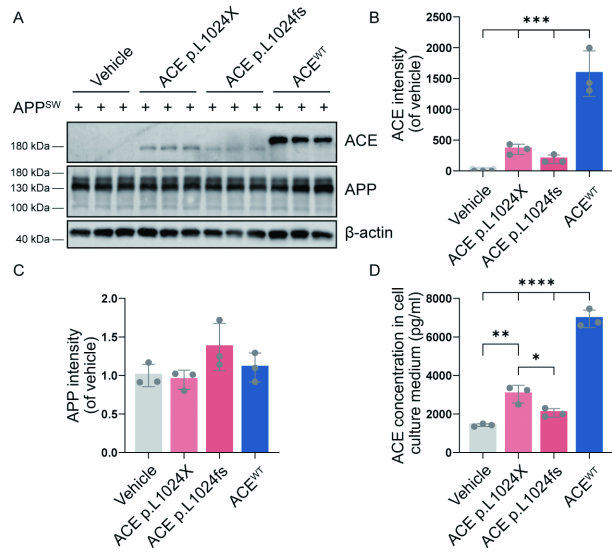
Gene	Exon	position	Ref/Alt	variant	Number of carriers	gnomAD_ exome	gnomAD_ genome	Pathogenicity prediction				Reference
								SIFT	PolyP hen	MutationT aster	CADD	
<i>CR1</i> NM_000573	5	rs116806486	A/G	p.T173A	5	0.00158534	0.0053	T	B	N	0.001	Ma X.Y. et al. (2014)
<i>TREM2</i> NM_018965	3	rs2234255	C/T	p.H157Y	2	0.00490461	0.001	D	D	N	23.1	Jiang T. et al. (2016)
<i>TREM2</i> NM_018965	2	rs145080901	C/T	p.A105V	1	0.0000636274	0.00006372	D	D	N	24.2	Jin et al. (2015)
<i>TREM2</i> NM_001271821	4	rs199795809	G/A	p.V166M	1	0.000234658	0.0002	D	B	D	11.75	Not reported
<i>TREM2</i> NM_018965	2	rs201280312	C/T	p.A130V	1	0.00000799853	NF	T	P	N	10.98	Jiao et al. (2014)
<i>ACE</i> NM_000789	8	-	C/-	p.D441fs	1	0.00000398168	NF	NA	NA	NA	NA	Not reported
<i>ACE</i> NM_000789	20	-	CT/-	p.L1024fs	1	NF	0.00003184	NA	NA	NA	NA	Not reported
<i>ACE</i> NM_000789	7	rs200225958	G/A	p.W343X	1	0.00000796045	NF	NA	NA	A	36	Not reported
<i>PSEN2</i> NM_000447	7	rs533813519	C/A	p.H169N	1	0.00048	0.0002	D	B	D	31	Shi et al. (2015)
<i>ADAM10</i> NM_001320570	13	rs141701612	A/G	p.Q583R	1	0.000103427	0.0006	T	B	N	8.753	Not reported
<i>PLD3</i> NM_001031696	7	rs200529365	C/G	p.I163M	1	0.000229557	0.00006373	D	P	N	22.7	Tan M.S. et al. (2018)

Note: SIFT (D = damaging, T = tolerated); PolyPhen (B = benign, P = possibly damaging, D = probably damaging); Mutation Taster (A = disease_causing_automatic, D = disease_causing, N = polymorphism, P = polymorphism_automatic); NF = not found; NA = not available.

Table 3 Clinical information of patients carried ACE frame-shifting or stop-gain mutations from our study and ADNI

ADNI PTID	Bases variant	ACE mutation	Age (BL)	gender	RACE	diagnosis at baseline	AAO	duration to dementia (years)	<i>APOE</i> e4	AV-45-PET	MTA scale ^a
-	c.1323delC	p.D441fs	74	male	yellow	mild AD	72	2	0/0	(+)	3
-	c.3070_3071delCT	p.L1024fs	74	female	yellow	moderate AD	70	3	1/0	(+++)	4
-	c.1028G>A	p.W343X	71	male	yellow	moderate AD	NA	NA	1/0	NA	NA
068_S_2316	c.441_442insAGCTT	p.R149Lfs*53	74.3	female	white	early MCI	NA	1	1/0	(+++)	4
023_S_0887	c.3168_3181del	p.D1058Yfs*15	73.7	female	white	late MCI	NA	1.5	1/0	(++)	4
023_S_0061	c.3712delT	p.S1238Pfs*118	77	female	black	CN	79	6	0/0	(+++)	4

Note: AAO = age at onset; MTA = medial temporallobe atrophy; a: scores based on the latest MRI



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